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James L. Katz, Reg. No. 42,711
Name of applicant, assignee or
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4-22-04
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APR 26 2004
PATENT & TRADEMARK OFFICE

Case No. 4672/363

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Brady et al.

Serial No: 10/001,237

Examiner: Not yet assigned

Filed: October 30, 2001

Group Art Unit: 2167

For: NETWORK AND METHOD FOR TRADING DERIVATIVES

SUBMISSION OF FORMAL DRAWINGS

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant has enclosed 8 sheets of formal drawings (Figure(s) 1-5C).

Respectfully submitted,

Dated: April 22,2004

James L. Katz
Registration No. 42,711
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

APR 2 6 2004

FIG. 1

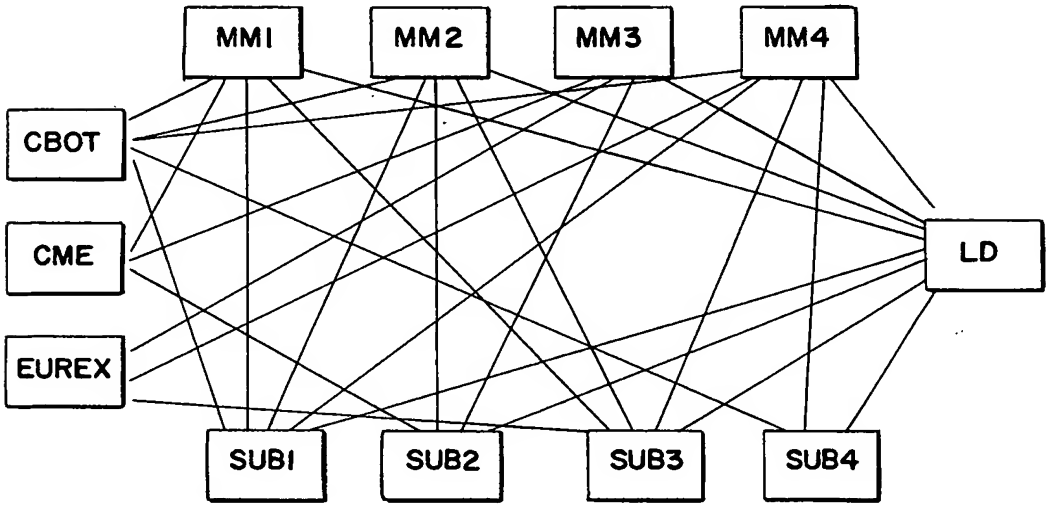

FIG. 2A

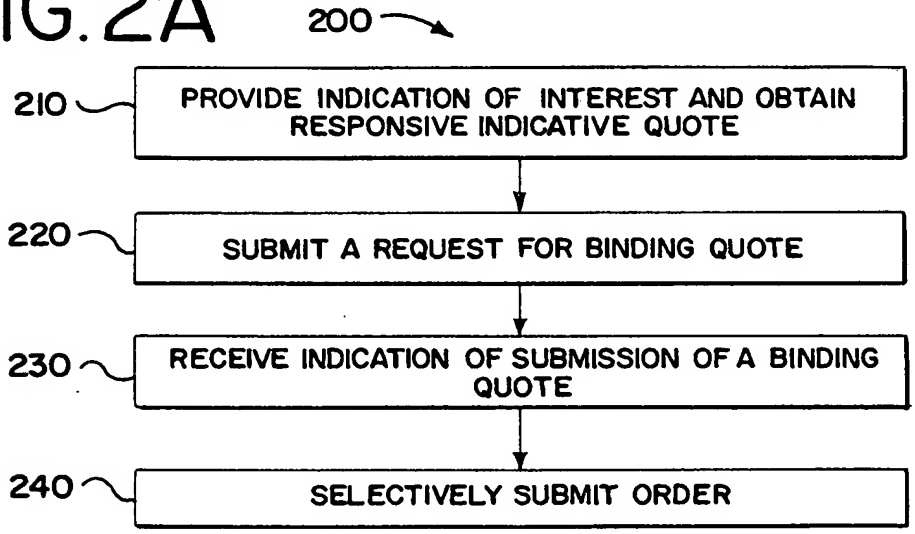

FIG. 2B

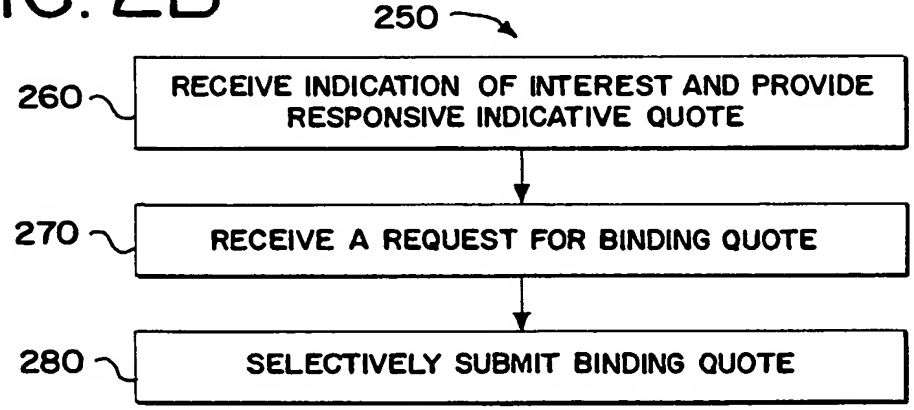

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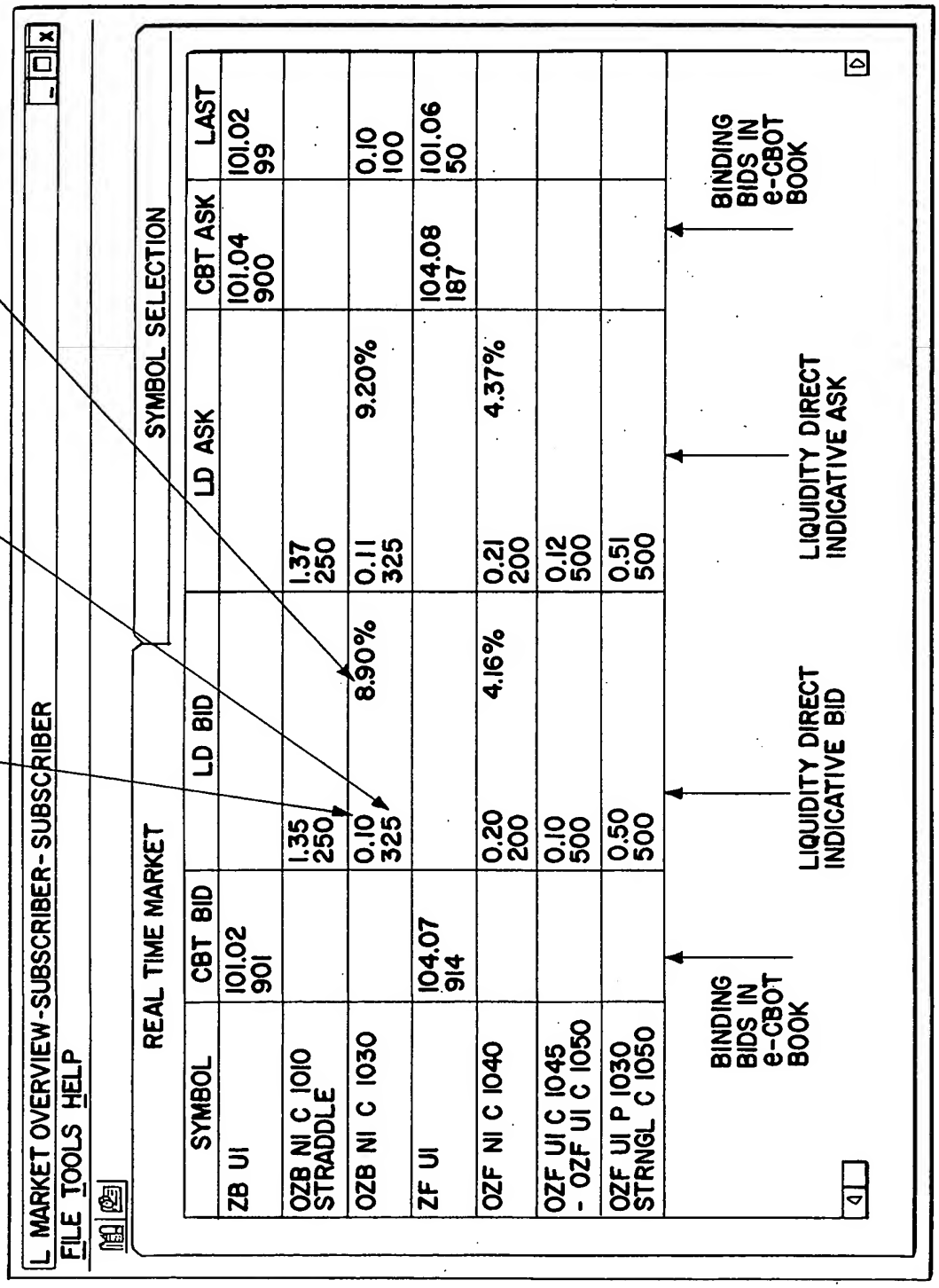
FIG. 3A



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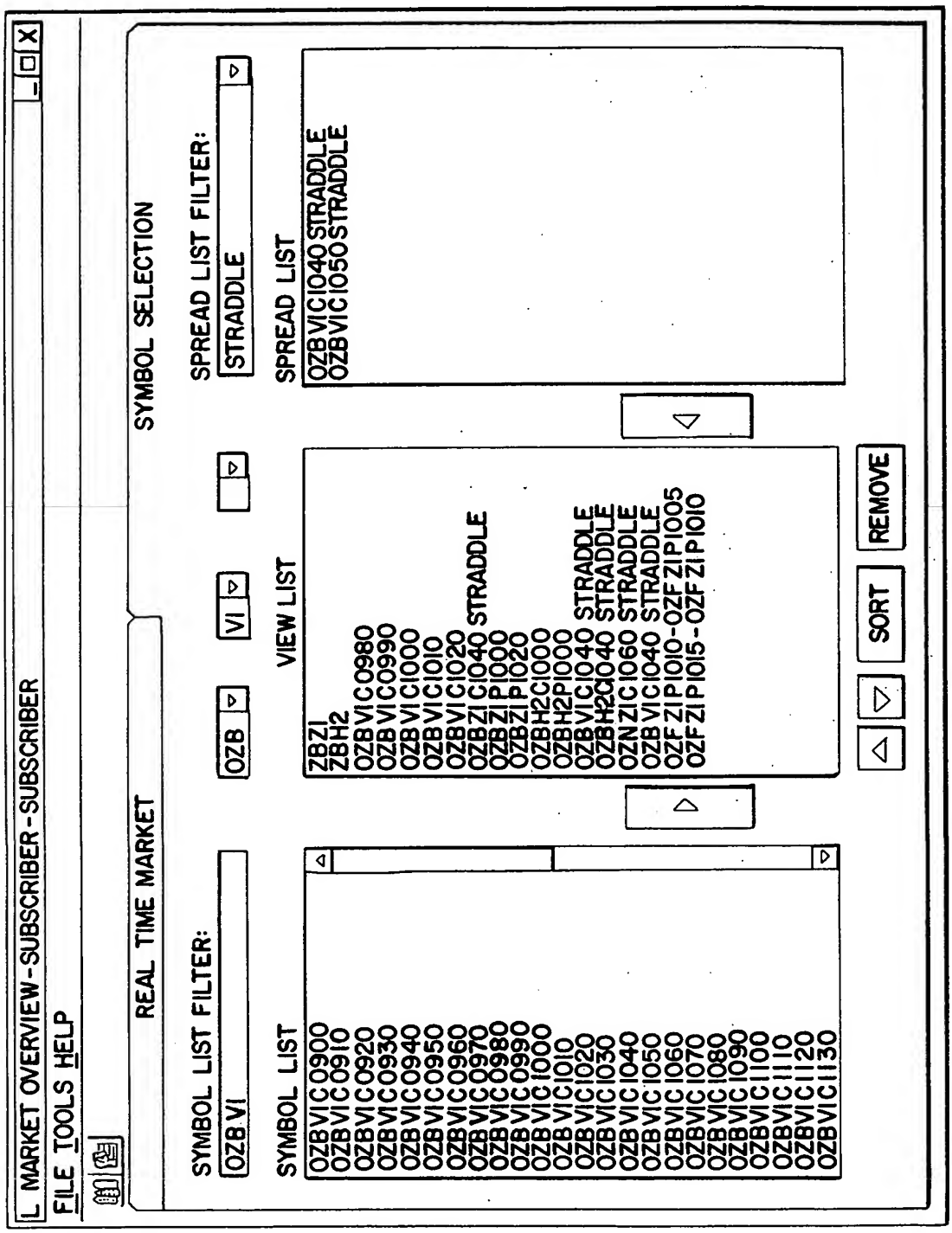

FIG. 3B

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FIG. 3C

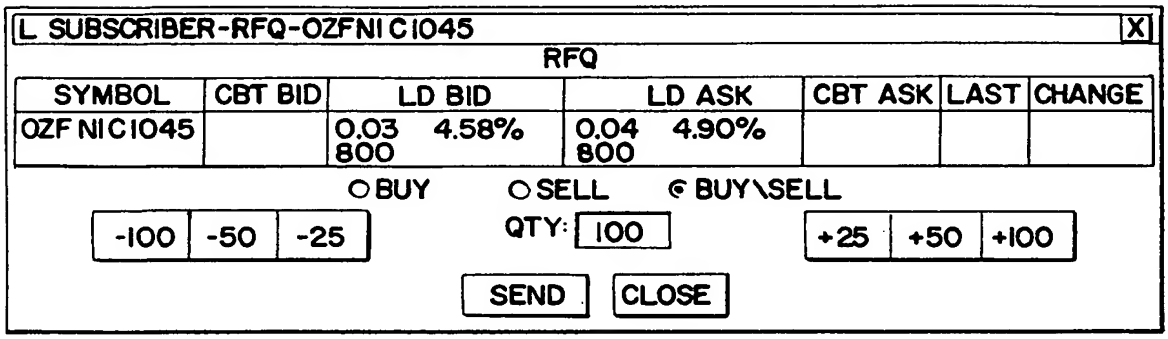


FIG. 3D

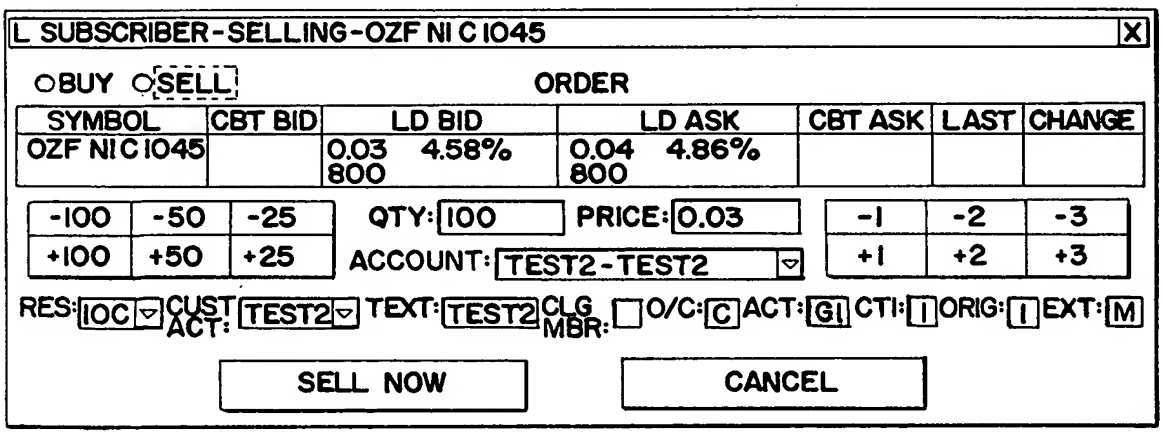
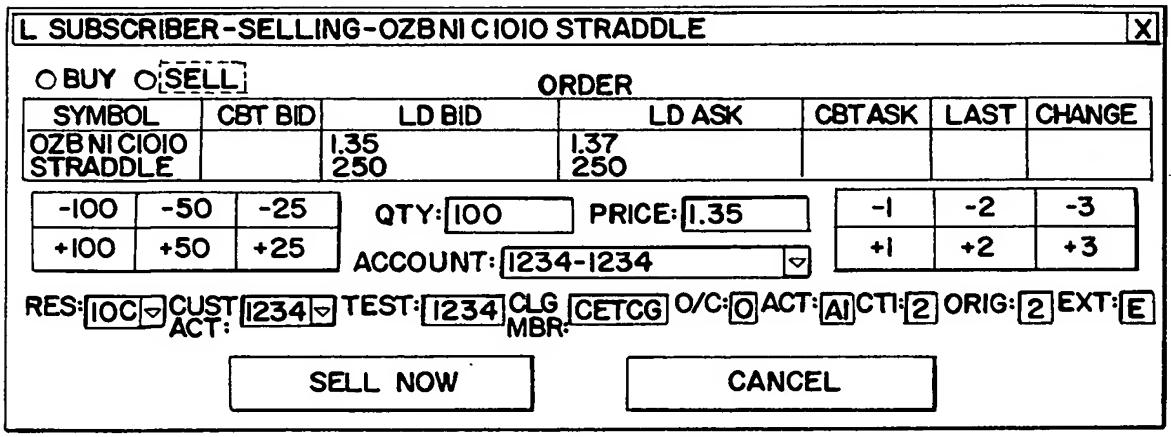


FIG. 3E



OIPE
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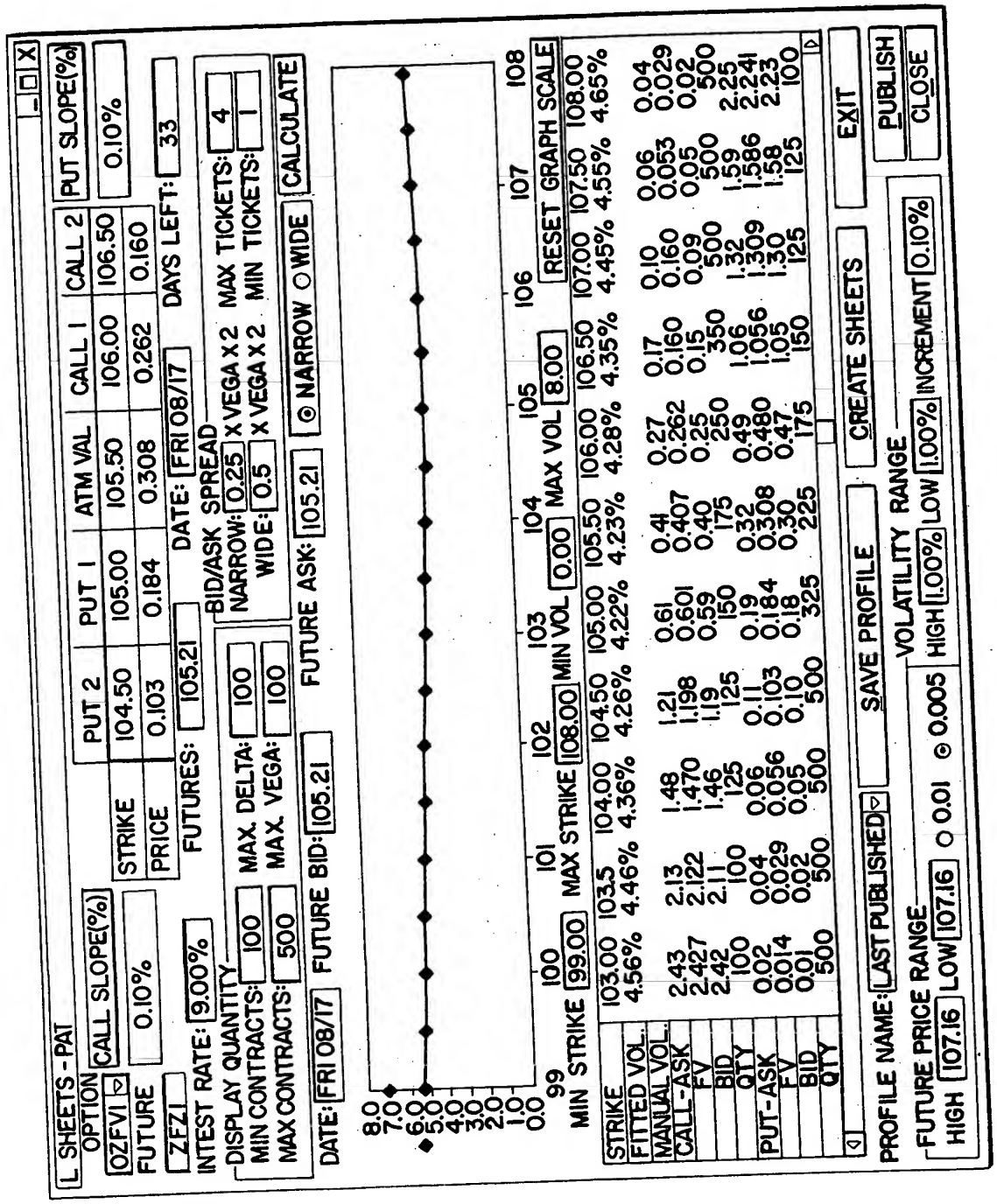

FIG.4A

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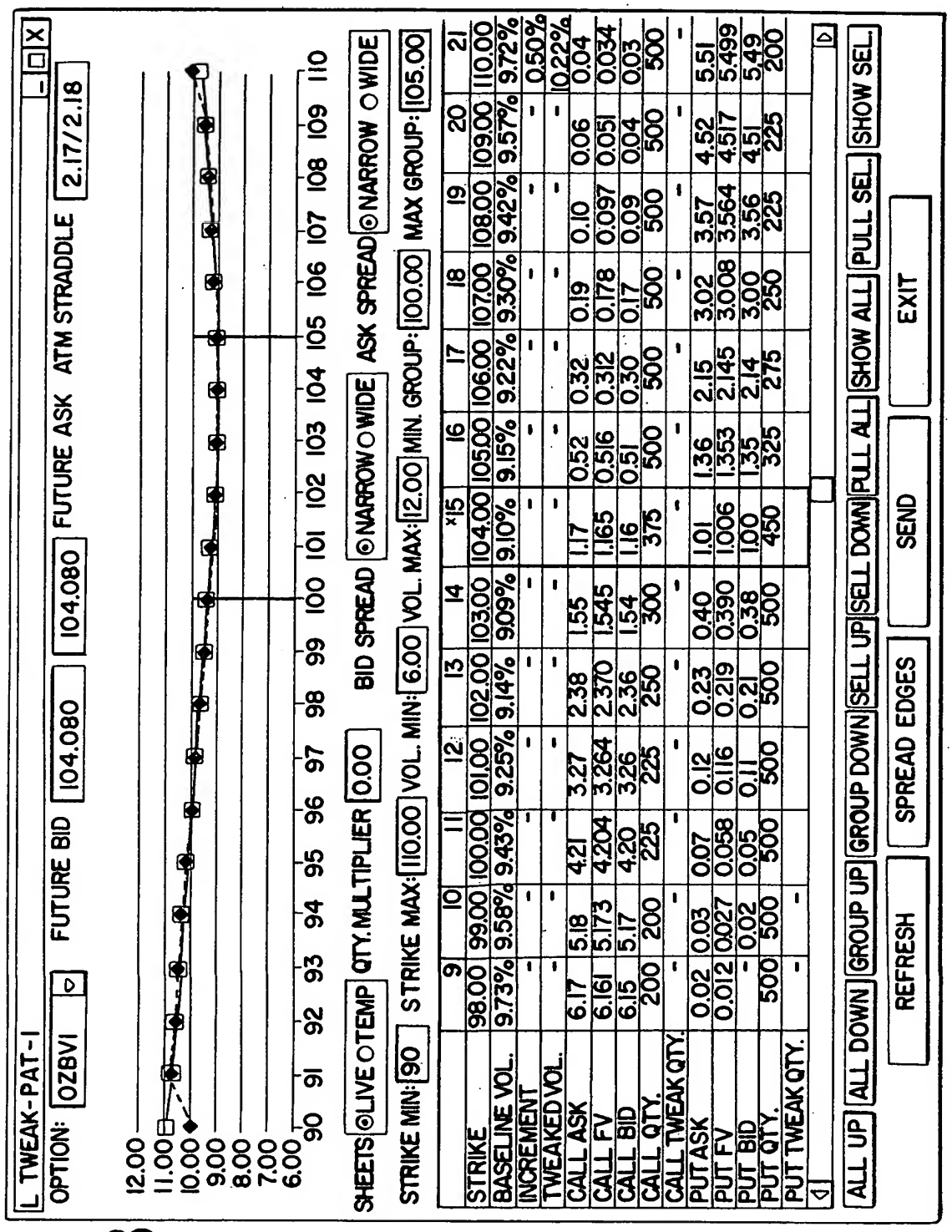

	9	10	11	12	13	14	×15	16	17	18	19	20	21
STRIKE	98.00	99.00	100.00	101.00	102.00	103.00	104.00	105.00	106.00	107.00	108.00	109.00	110.00
BASELINE VOL.	9.73%	9.58%	9.43%	9.25%	9.14%	9.09%	9.10%	9.15%	9.22%	9.30%	9.42%	9.57%	9.72%
INCREMENT	-	-	-	-	-	-	-	-	-	-	-	-	0.50%
TWEAKED VOL.	-	-	-	-	-	-	-	-	-	-	-	-	10.22%
CALL ASK	6.17	5.18	4.21	3.27	2.38	1.55	1.17	0.52	0.32	0.19	0.10	0.06	0.04
CALL FV	6.161	5.173	4.204	3.264	2.370	1.545	1.165	0.516	0.312	0.178	0.097	0.051	0.034
CALL BID	6.15	5.17	4.20	3.26	2.36	1.54	1.16	0.51	0.30	0.17	0.09	0.04	0.03
CALL QTY.	200	200	225	225	250	300	375	500	500	500	500	500	500
CALL TWEAK QTY.	-	-	-	-	-	-	-	-	-	-	-	-	-
PUT ASK	0.02	0.03	0.07	0.12	0.23	0.40	1.01	1.36	2.15	3.02	3.57	4.52	5.51
PUT FV	0.012	0.027	0.058	0.116	0.219	0.390	1.006	1.353	2.145	3.008	3.564	4.517	5.499
PUT BID	-	0.02	0.05	0.11	0.21	0.38	1.00	1.35	2.14	3.00	3.56	4.51	5.49
PUT QTY.	500	500	500	500	500	500	450	325	275	250	225	225	200
PUT TWEAK QTY.	-	-											

FIG.4B

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FIG. 4C

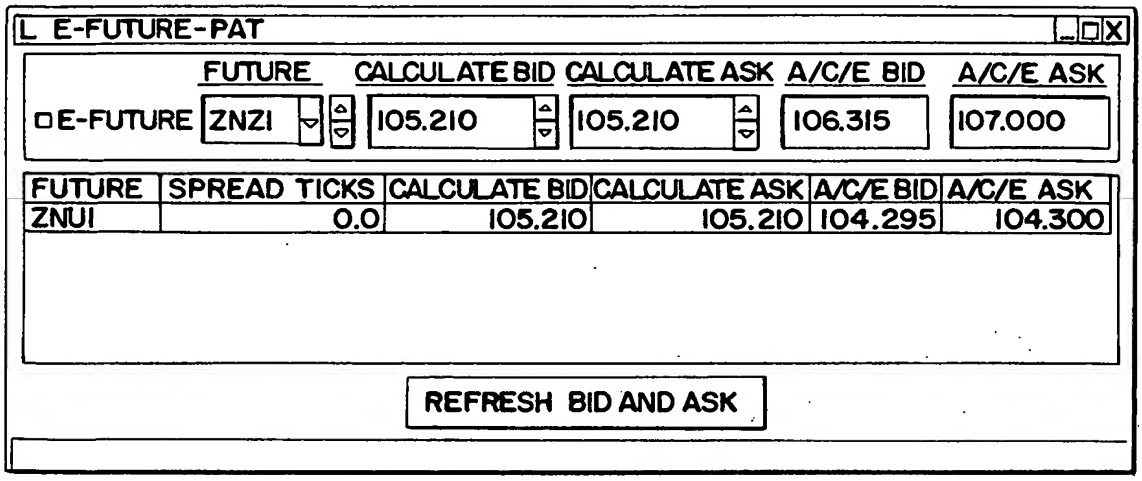
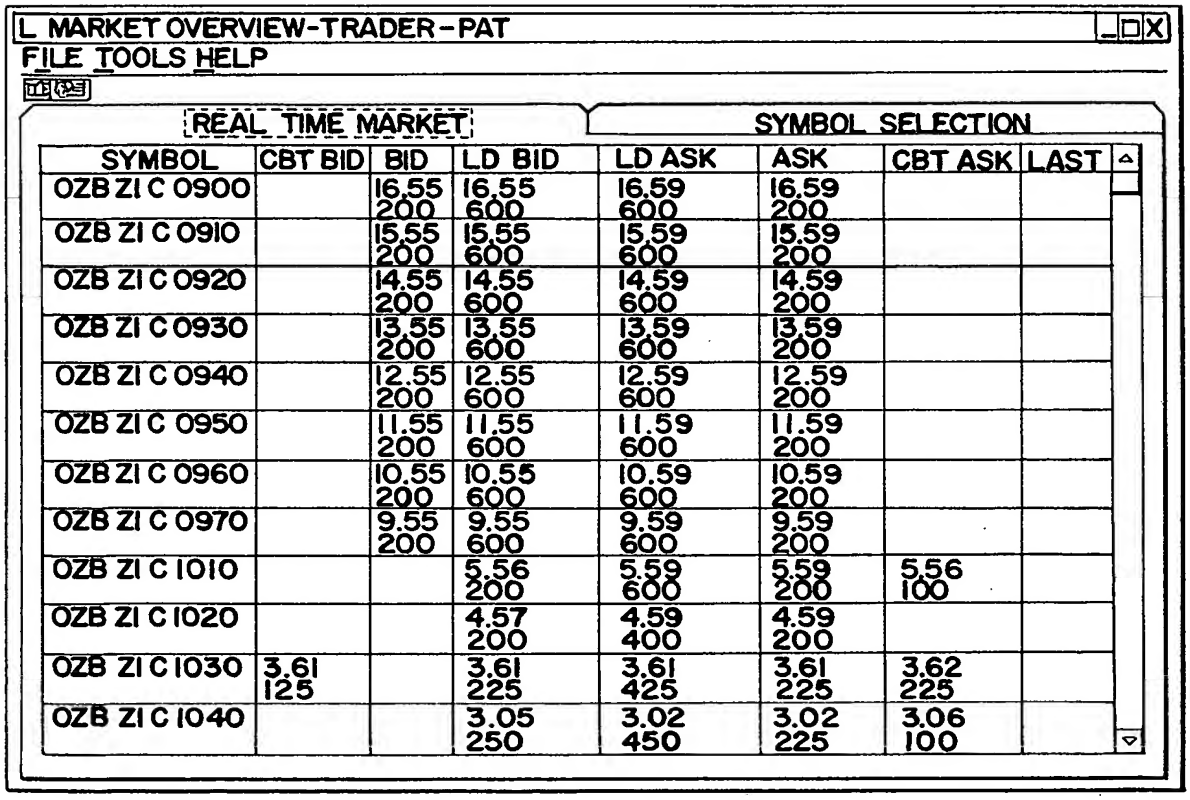


FIG. 5A



SYMBOL	CBT BID	BID	LD BID	LD ASK	ASK	CBT ASK	LAST
OZB ZI C 0900		16.55 200	16.55 600	16.59 600	16.59 200		
OZB ZI C 0910		15.55 200	15.55 600	15.59 600	15.59 200		
OZB ZI C 0920		14.55 200	14.55 600	14.59 600	14.59 200		
OZB ZI C 0930		13.55 200	13.55 600	13.59 600	13.59 200		
OZB ZI C 0940		12.55 200	12.55 600	12.59 600	12.59 200		
OZB ZI C 0950		11.55 200	11.55 600	11.59 600	11.59 200		
OZB ZI C 0960		10.55 200	10.55 600	10.59 600	10.59 200		
OZB ZI C 0970		9.55 200	9.55 600	9.59 600	9.59 200		
OZB ZI C 1010			5.56 200	5.59 600	5.59 200	5.56 100	
OZB ZI C 1020			4.57 200	4.59 400	4.59 200		
OZB ZI C 1030	3.61 125		3.61 225	3.61 425	3.61 225	3.62 225	
OZB ZI C 1040			3.05 250	3.02 450	3.02 225	3.06 100	

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FIG. 5B

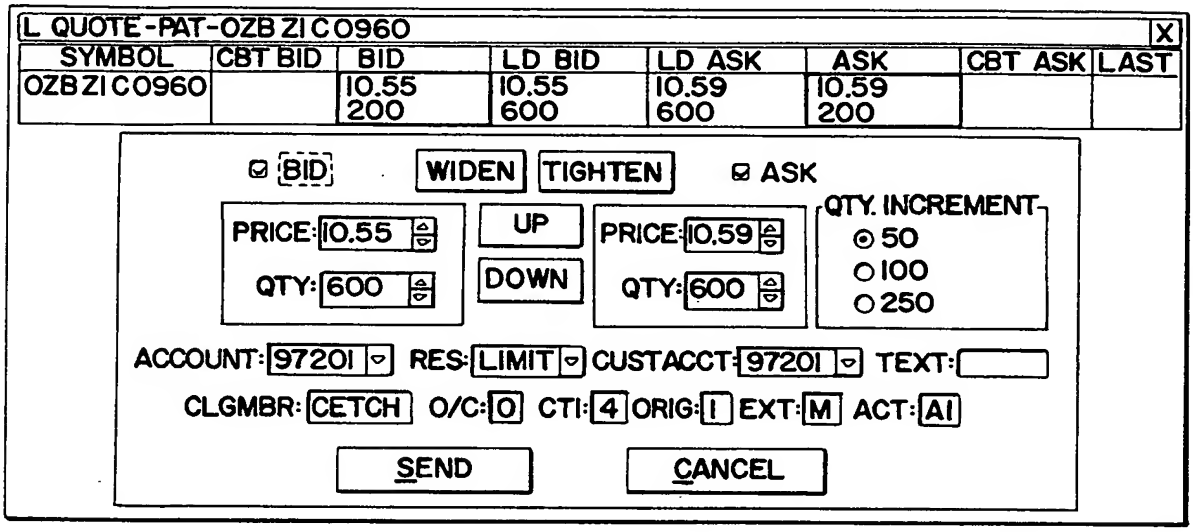
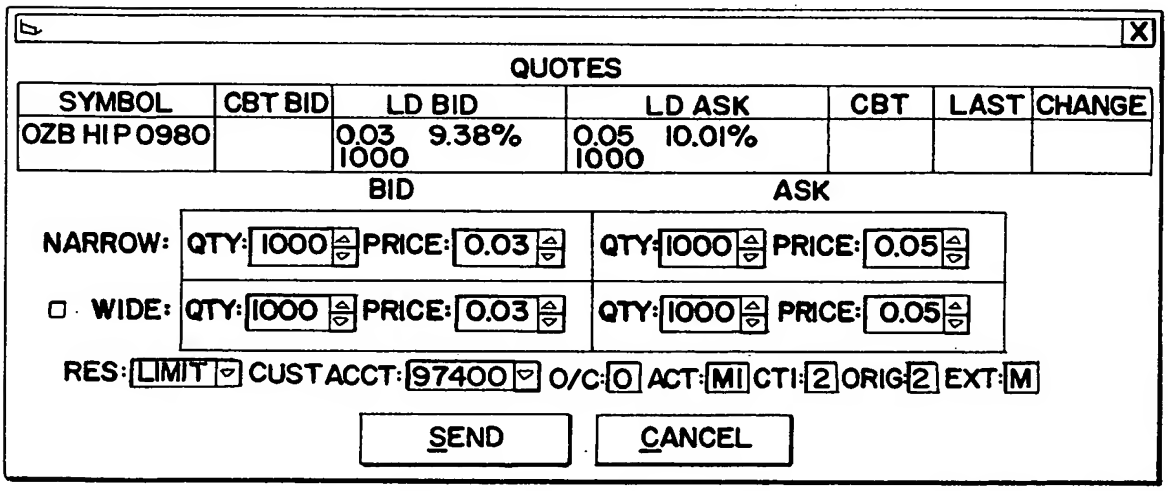
FIG. 5C